
**WEDI ICD-10 Workgroup
ICD-10 Transition Subworkgroup**

ICD-10 Roadmap Tool Kit



July 16, 2015

WEDI

(Workgroup for Electronic Data Interchange)

1984 Isaac Newton Square, Suite 304, Reston, VA. 20190

T: 202-684-7794//F: 202-318-4812

2015 Workgroup for Electronic Data Interchange, All Rights Reserved

Disclaimer

This document is Copyright © 2015 by The Workgroup for Electronic Data interchange (WEDI). It may be freely redistributed in its entirety provided that this copyright notice is not removed. It may not be sold for profit or used in commercial documents without the written permission of the copyright holder. This document is provided “as is” without any express or implied warranty.

While all information in this document is believed to be correct at the time of writing, this document is for educational purposes only and does not purport to provide legal advice. If you require legal advice, you should consult with an attorney. The information provided here is for reference use only and does not constitute the rendering of legal, financial, or other professional advice or recommendations by the Workgroup for Electronic Data Interchange. The listing of an organization does not imply any sort of endorsement and the Workgroup for Electronic Data Interchange takes no responsibility for the products, tools, and Internet sites listed.

The existence of a link or organizational reference in any of the following materials should not be assumed as an endorsement by the Workgroup for Electronic Data Interchange (WEDI), or any of the individual workgroups or sub-workgroups of WEDI.
Document is for Education and Awareness Use Only

The **WEDI ICD-10 Roadmap Tool Kit** is designed to help locate resources available from WEDI and CMS. It provides either a “quick start guide” for those just starting their ICD-10 efforts or a “quick reference guide” for those currently moving through their plans. This document is divided into five sections corresponding to the high-level activities that will need to be conducted.

Planning

<p>REGULATION</p> <p><i>Understanding the ICD-10 Mandate</i></p>	<p>“HIPAA Administrative Simplification: Modifications to Medical Data Code Set Standards To Adopt ICD-10-CM and ICD-10-PCS”</p> <p>This is the actual final rule to adopt ICD-10-CM and ICD-10 PCS as published in the Federal Register. On January 16, 2009, the U.S. Department of Health and Human Services (HHS) released the final rule mandating that everyone covered by the Health Insurance Portability and Accountability Act (HIPAA) implement ICD-10 for medical coding.</p> <p>HHS later announced a final rule that delays the ICD-10 compliance date from October 1, 2013 to October 1, 2014.</p> <p>The Federal Register http://www.gpo.gov/fdsys/pkg/FR-2009-01-16/pdf/E9-743.pdf</p>
<p>INTRODUCTION</p> <p><i>Overview of the Regulatory Mandate</i></p>	<p>“The ICD-10 Transition: An Introduction”</p> <p>CMS Introduction to ICD-10 Transition provides a brief general overview of ICD-10 and the compliance process.</p> <p>http://www.cms.gov/Medicare/Coding/ICD10/Downloads/ICD10Introduction.pdf</p>
<p>ICD-10 TIMELINE</p> <p><i>Time Frames for Major Activities</i></p>	<p>“ICD-10 Timeline 8/31/2012” -- NCHICA WEDI</p> <p>“ICD-10 Implementation Timelines” -- CMS</p> <p>Review the timeline to understand the scope of major project activities. The NCHICA WEDI ICD-10 timeline revised August 13, 2012 provides suggested milestones for each stakeholder to meet the new compliance date of October 1, 2014.</p> <p>http://www.nchica.org/hipaaresources/timeline.htm</p> <p>CMS provides a timeline tailored to each stakeholder that includes major activities mapped to the timeframe http://www.cms.gov/Medicare/Coding/ICD10/ICD-10ImplementationTimelines.html</p>
<p>EXECUTIVE SPONSORSHIP</p> <p><i>Obtain Executive Sponsorship & Build Program Organization</i></p>	<p>“ICD-10 Impact Assessment Checklist”</p> <p>“Why Do I Need an ICD-10 Impact Assessment” - A Presentation for Healthcare Executives</p> <p>It is critical to obtain executive sponsorship to drive the ICD-10 initiative throughout the organization. WEDI white paper “ICD-10 Impact Assessment checklist” section 1.1 provides some guidance.</p> <p>http://www.wedi.org/knowledge-center/resource-view/resources/2010/07/12/snip-icd-10-impact-assessment-white-paper-attachments</p> <p>WEDI also provides a presentation for healthcare executives you may tailor to your needs to sell the need for assessing the impact to your organization.</p>

<p>PROJECT GOVERNANCE</p> <p><i>Success requires a Strong Management Structure</i></p> <p><i>Create a work plan</i></p>	<p>http://www.wedi.org/docs/resources/icd10_impact_assessment_executive_presentation_part_ib-pdf.pdf?Status=Master&sfvrsn=0 “WEDI ICD-10 Implementation White Paper”</p> <p>The successful management and execution of a large healthcare regulatory initiative such as ICD-10 is predicated by a governance structure. See WEDI ICD-10 Implementation White Paper for definition – Section 3 – Project Governance. http://www.wedi.org/knowledge-center/resource-view/resources/2011/05/10/wedi-snip-icd-10-implementation-white-paper- “ICD-10 Milestones”</p> <p>The scope of this document is only to provide a high-level framework that can be used by all types of organizations for creating work plans and tracking progress. It is not intended to provide a detailed work plan as those plans will of necessity vary for each type of organization and for each individual organization. It also is not intended to be a comprehensive list of every task needed to reach compliance. Each organization can use this framework to compare against existing plans to determine if any major items are missing or to use as a starting point to begin creating work plans. Note that the order of some tasks may vary according to specific needs, and some may be performed concurrently. http://www.wedi.org/docs/resources/icd-10-milestones-white-paper.pdf?sfvrsn=0</p>
--	---

Impact Assessment

<p>IMPACT ASSESSMENT OVERVIEW</p> <p><i>Understanding the Impact Assessment Process</i></p>	<p>“ICD-10 Impact Assessment Checklist”</p> <p>Perform an Impact Assessment of your organization to identify critical changes and prioritize the work efforts. WEDI has published a white paper “ICD-10 Impact Assessment Checklist” providing a set of checklists and tools that support the completion of your Impact Assessment. We suggest reading the white paper to begin this process. http://www.wedi.org/knowledge-center/resource-view/resources/2010/07/12/snip-icd-10-impact-assessment-white-paper-attachments</p>
<p>INVENTORY TOOL</p> <p><i>Inventory Business & Technical Components</i></p>	<p>“ICD-10 Impact Assessment Inventory Tool”</p> <p>As a companion to the “ICD-10 Impact Assessment Checklist” White Paper a template tool was created to capture the important aspects of the technical and business inventory. http://www.wedi.org/knowledge-center/resource-view/resources/2010/07/12/snip-icd-10-impact-assessment-white-paper-attachments</p>
<p>BUSINESS PROCESS</p>	<p>“ICD-10 Impact Assessment Mind Maps”</p>

Mind Map Business Processes & Identify Risk Overview

WEDI has published a white paper “ICD-10 Impact Assessment Mind Maps” that will introduce you to the use of mind mapping to quickly pinpoint your process needs and determine the severity. The white paper introduces the approach and includes specific prepared mind maps for each stakeholder type to trigger impacts to each organization.

Link to the Impact Assessment Mind Maps White Paper:

http://www.wedi.org/docs/resources/icd10_impact_assessment_mind_map_whitepaper_final_draft_rev_1-pdf.pdf?Status=Master&sfvrsn=0

Coding & Translation

MAPPING

Understand when it is appropriate to use translation mapping

“ICD-10 Crosswalks Primer White Paper”

This white paper focuses on the basics of:

What is a crosswalk versus a General Equivalency Map (GEM)? GEM Overview

Why are crosswalks needed?

Limitations of crosswalks and GEM's

Developing Crosswalks

<http://www.wedi.org/knowledge-center/resource-view/resources/2010/10/06/wedi-snip-icd-10-crosswalks-primer-white-paper>

MAPPING

Additional resources available to support translation mapping

“ICD-10 Crosswalks White Paper: Treasure Map to ICD-10 Resources”

This paper is intended to help those starting work on their ICD-10 mapping projects. This paper identifies the location of necessary files, including the GEMs, ICD-9 and ICD-10 codes with long and short descriptions, user guides, technical information, etc. to sort out the following:

- what and where are the critical resources needed for a successful ICD-10 implementation
- what and where are the General Equivalence Mappings or GEMs,
- where do you find additional related resources and files,
- what other helpful information should be considered, and
- how can you use the information effectively

<http://www.wedi.org/knowledge-center/documents/white-papers/resources/2011/10/07/icd-10-crosswalks-white-paper-treasure-map-to-icd-10-resources>

CODING GUIDELINE

Specific guideline for Acute Myocardial Infarction

“Acute Myocardial Infarction Issue Brief”

The changes to the definitions of acute myocardial infarction and the guidelines for reporting are significant and will result in impacts to documentation, coding and reporting. This can also lead to downstream impacts to quality metrics and pay for performance models. This issue brief will provide an overview of these changes in order to inform the industry in making decisions to mitigate any negative impacts.

<i>Understand DRG Shifts</i>	http://www.wedi.org/docs/publications/acute-myocardial-infarction-issue-brief.pdf?sfvrsn=0
	DRG Shift Scenario Tool
<i>How to define Health Conditions in ICD-10</i>	The Coding and Translation sub-workgroup is pleased to offer a significant update to the DRG shift scenario worksheets. The worksheet now has a total of 41 scenarios. All of the DRG calculations have been updated to the latest version 32 MSDRG grouper. http://wedi.org/workgroups/icd-10/resources/2015/07/16/updated-drg-shift-scenario-tool
	Review of CMS/Noblis ICD-9 to ICD-10 Health Condition Categories Coding Translations Issue Brief In this issue brief, we will discuss the peer review coding feedback that includes suggestions for additional ICD-10 diagnosis codes; questions as to why specific ICD-10 codes were included in a particular category listing; or if the codes listed in the condition categories were acceptable with no suggested additions or deletions. For those condition categories in Section III, Coding Recommendations, we have also included Noblis comments to the coding reviewer's recommendations. http://www.wedi.org/docs/public-policy/review-findings.pdf?sfvrsn=0

Implementation

IMPLEMENTATION PLANNING	"WEDI ICD-10 Implementation White Paper"
<i>Build your project work plan</i>	This white paper provides industry guidance on building an Implementation Plan for ICD-10 compliance. The Planning and Execution sections are expanded with further detail where the narrative addresses the relevant topics for the entire project lifecycle. http://www.wedi.org/knowledge-center/resource-view/resources/2011/05/10/wedi-snip-icd-10-implementation-white-paper-
CHECKLISTS, TIMELINES, IMPLEMENTATION GUIDES	"ICD-10 Transition Checklist" "ICD-10 Implementation Guide for" "ICD-10 Timeline for ..."
<i>CMS resources provide checklists & implementation guides</i>	Checklists and timelines provide an at-a-glance view of what you need to do to get ICD-10 ready. The ICD-10 implementation guides provide detailed information about the ICD-10 transition. Please note that the dates and milestones in these materials are recommendations only; you can adapt them to your needs for meeting the October 1, 2014, deadline. A set of these guides is provided for each of the following stakeholders: Large Practices, Small & Medium Practices and Small Hospitals http://www.cms.gov/Medicare/Coding/ICD10/ProviderResources.html Payers http://www.cms.gov/Medicare/Coding/ICD10/Payer_Resources.html

<p>POLICIES AND RULES</p> <p><i>Validate your policies, procedures and business rules</i></p>	<p>“Redefining Policies, Rules and Categories in ICD-10 White Paper”</p> <p>This white paper focuses on the challenges and approaches to the process of examining your policies and business rules to validate the intent of the policy / rules versus a conversion of one code to another.</p> <p>http://www.wedi.org/knowledge-center/resource-view/resources/2011/03/30/white-paper-redefining-policies-rules-and-categories-in-icd-10</p>
<p>FINANCIAL IMPACT</p> <p><i>Consider dimensions of financial neutrality</i></p>	<p>“Financial Neutrality into ICD-10 Implementation Plans White Paper”</p> <p>This White Paper will focus on the financial aspects and characteristics of Neutrality. The white paper will define the dimensions of Financial Neutrality which must be considered by organizations which intend to build an implementation plan around this concept, to describe how neutrality based risks and goals may look different for providers, payers or federal and state agencies and what testing considerations should be made in risk mitigation efforts.</p> <p>http://www.wedi.org/knowledge-center/resource-view/resources/2012/07/16/wedi-snip-incorporating-financial-neutrality-into-icd-10-implementation-plans-white-paper</p>
<p>BUSINESS METRICS</p> <p><i>Benchmark now & monitor “go-live”</i></p>	<p>“ICD-10 Critical Metrics White Paper”</p> <p>This white paper identifies a basis of business metrics for hospitals, practices and payer organizations to perform benchmarks prior to ICD-10 implementation. These same metrics and benchmark data will also provide the monitoring and early warning indicators to ensure ICD-10 implementation and mitigation plans are successful.</p> <p>http://www.wedi.org/docs/resources/wedi_impact_assessment_swg_white_paper_icd10_metrics_revised_111412-pdf.pdf?Status=Master&sfvrsn=0</p>
<p><i>CMS designed a program specific for small providers</i></p>	<p>CMS “Road to 10”</p> <p>CMS built Road to 10 to assist physicians in small practices. Available on the Provider Resources page at cms.gov/ICD10, this tool is intended to help small medical practices jumpstart their ICD-10.</p> <p>http://www.roadto10.org/</p>
<p><i>Communicate with your Providers</i></p>	<p>Ten Items That Payers Should Share On Their ICD-10 Website</p> <p>This WEDI issue brief provides a list of the “Top 10” items payers should consider making available on their ICD-10 website and provides a brief description of each item. The items identified in this issue brief will help to provide greater transparency and insight into a payer’s ICD-10 readiness, inform providers about ICD-10 testing and help to alleviate at least a small measure of industry anxiety about ICD-10.</p> <p>http://www.wedi.org/docs/resources/icd-10-issue-brief.pdf?sfvrsn=0</p>
<p><i>Eliminate Confusion</i></p>	<p>ICD-10 Terminology Issue Brief</p> <p>The purpose of this Issue Brief is to provide an overall definition and understanding of some key terms used in the discussion of ICD-10 testing, implementation and risk management. The intent is to eliminate confusion in the use of these terms in ongoing discussions and in other work products.</p> <p>http://www.wedi.org/docs/resources/icd-10-terminology-issue-brief.pdf?sfvrsn=0</p>

<p><i>What is the status of workers comp in my State</i></p>	<p>State Workers Compensation Readiness as of 4/1/2015</p> <p>The WEDI ICD-10 State Readiness List will be updated accordingly based on states' responses. Make sure you sign up for the WEDI P&C eBilling list serve to obtain ICD-10 State Readiness Alert Notifications when a new state is added. Please notify sholvey@wedi.org if your state has adopted ICD-10 and is not represented on the list.</p> <p>http://www.wedi.org/docs/resources/wedi-icd-10-state-workers-compensation-readiness-list.pdf?sfvrsn=0</p>
<p><i>How to jump start your implementation</i></p>	<p>ICD-10: TOP 10 THINGS TO DO NOW</p> <p>If you are just starting to address ICD-10 or are unsure where to start, this checklist should help jump start your impact assessment and remediation planning. Being ready on time is critical to assuring your cash flow is not disrupted and business can continue as usual. Note that testing will be critical to assuring you have successfully remediated all items.</p> <p>http://www.wedi.org/workgroups/icd-10/resources/2014/01/13/icd-10-top-10-things-to-do-now</p>
<p><i>What Vendors should Share with their Clients</i></p>	<p>"Vendor Readiness Checklist"</p> <p>This issue brief provides a list of items that System Vendors should address regarding ICD-10 remediation, testing and implementation of their products. Communicating these items will help to provide greater transparency and insight into a vendor systems' CD-10 readiness</p> <p>http://wedi.org/knowledge-center/white-papers-articles/issue-briefs/resources/2015/07/16/icd-10-vendor-readiness-issue-brief</p>

Testing

<p>END-TO-END TESTING</p> <p><i>What is end-to-end testing?</i></p>	<p>"ICD-10 End to End Testing Issue Brief"</p> <p>The purpose of this issue brief is to provide an overall industry definition and understanding of what end-to-end testing for ICD-10 is, as well as describe some of key considerations involved in ICD-10 end-to-end testing. Our intent is to enable a collaborative and cooperative approach to ICD-10 testing which can help assure a successful industry wide implementation. Our hope is that this issue brief will lead to significant discussion and debate within the industry to determine the best approaches to end-to-end testing.</p> <p>http://www.wedi.org/knowledge-center/documents/issue-briefs/resources/2012/05/30/wedi-snip-icd-10-end-to-end-testing-issue-brief</p> <p>http://www.wedi.org/topics/icd-10/icd-10-national-pilot-program</p>
<p><i>How do I get started as a small Provider</i></p>	<p>ICD-10 Testing for Small Providers</p> <p>This white paper provides a starting point for small physician practices performing ICD-10 testing. This paper categorizes testing tasks under who, what, where, when, why and how categories. It focuses on providers' external testing with payers and assumes providers' internal systems are ready for ICD-10.</p>

How does a large Provider approach testing

<http://www.wedi.org/docs/publications/testing-for-small-providers-white-paper.pdf?sfvrsn=0>

A Large Provider Perspective on ICD-10 End-to-End Testing

This is a PowerPoint presentation presented at WEDI's End-to-End Testing Webinar. key Discussion Topics: 1)Provider challenges with testing 2)Remediating applications beyond the code set 3)Key elements of a test plan 4)Approach to external testing 5)Testing risk management

[http://www.wedi.org/docs/publications/\(ppt\)-a-large-provider-perspective-on-icd-10-end-to-end-testing.pptx?sfvrsn=0](http://www.wedi.org/docs/publications/(ppt)-a-large-provider-perspective-on-icd-10-end-to-end-testing.pptx?sfvrsn=0)

ICD-10 Impact to HIPAA Transactions

How does ICD-10 impact my HIPAA transactions?

This document will help highlight the transactions and content that will need to be validated as part of the ICD-10 testing effort. Testing might include verification that transaction and code formats are correct, codes are valid, number of occurrences is appropriate, etc. This document can also be used as input to an ICD-10 impact assessment and subsequent remediation planning.

<http://www.wedi.org/docs/publications/transactions-impacted-by-icd-10.pdf?sfvrsn=0>